

Dear Shareholder/s,

**LIST OF POLICIES MAINTAINED BY THE COMPANY IN TERMS OF CORPORATE GOVERNANCE  
RULE 9.2.1 OF THE LISTING RULES ISSUED BY THE COLOMBO STOCK EXCHANGE**

We wish to inform our shareholders that the following policies have been approved by the Board of Directors subject to periodically reviewed and updated by the Company with necessary changes in compliance with the requirements stated in Rule 9 of the Listing Rules issued by the Colombo Stock Exchange.

- (i) Policy on Risk Management and Internal Controls
- (ii) Policy on Control and Management of Company Assets and Shareholder Investments
- (iii) Policy on Environmental, Social and Governance Sustainability
- (iv) Policy on Corporate Disclosures
- (v) Policy on Internal Code of Business Conduct and Ethics for all Directors and Employees
- (vi) Policy on the Matters relating to the Board of Directors
- (vii) Policy on Selection, Nomination, Appointment and Re-election
- (viii) Policy on Trading in the Entity's Listed Securities
- (ix) Policy on Remuneration
- (x) Policy on Whistleblowing
- (xi) Policy on Anti Bribery and Corruption
- (xii) Policy on Board Committees
- (xiii) Policy on Corporate Governance
- (xiv) Policy on Relations with Shareholders and Investors

In terms of Rule 9.2.4 of the Listing Rules, any shareholder can make a written request to obtain a copy of any policy listed above by completing the form of request attached to this disclosure and by forwarding the said duly filled Request form to the Company Secretary of the Company, as per the instructions given therein.

UB Finance PLC



Company Secretary

30th September 2024.



Form of Request

To:  
The Company Secretary  
No. 10, Daisy Villa Avenue, Colombo 04, Sri Lanka.  
Email : niroshak@ubf.lk / Fax : +94 112 508 517

Madam,

**Request for printed copies of Policies maintained by the UB Finance PLC**

In terms of Rule 9.2.4 of the Listing Rules issued by the Colombo Stock Exchange, I/we hereby request you to issue to me/us a printed copy/copies of the policy/policies of UB Finance PLC mentioned underneath.

(i) The details of the Shareholder/s;

Full Name of the Shareholder/s	
Jointly with	
Address of the Shareholder/s	
National Identity Card No/s. and/or Passport No/s of the shareholder/s	
Company Registration No.	
CDS Account No.	
Contact Telephone No.	

(ii) Please tick the box in front of the requested policy with a "X" mark:

Name of the Policy

Mark the relevant box with a "X"

- |  |                          |
|--|--------------------------|
| (i) Policy on Risk Management and Internal Controls  | <input type="checkbox"/> |
| (ii) Policy on Control and Management of Company Assets and Shareholder Investments        | <input type="checkbox"/> |
| (iii) Policy on Environmental, Social and Governance Sustainability                        | <input type="checkbox"/> |
| (iv) Policy on Corporate Disclosures   | <input type="checkbox"/> |
| (v) Policy on Internal Code of Business Conduct and Ethics for all Directors and Employees | <input type="checkbox"/> |
| (vi) Policy on the Matters relating to the Board of Directors                              | <input type="checkbox"/> |
| (vii) Policy on Selection, Nomination, Appointment and Re-election                         | <input type="checkbox"/> |
| (viii) Policy on Trading in the Entity's Listed Securities                                 | <input type="checkbox"/> |
| (ix) Policy on Remuneration  | <input type="checkbox"/> |

Name of the Policy

Mark the relevant box with a "X"

(x)	Policy on Whistleblowing	<input type="checkbox"/>
(xi)	Policy on Anti Bribery and Corruption	<input type="checkbox"/>
(xii)	Policy on Board Committees	<input type="checkbox"/>
(xiii)	Policy on Corporate Governance	<input type="checkbox"/>
(xiv)	Policy on Relations with Shareholders and Investors	<input type="checkbox"/>

.....  
Signature of the Shareholder/s

.....  
Date

Notes:

1. Kindly perfect the Form of Request by filling in legibly your full name, address and the National Identity Card Number/Passport Number and by signing in the space provided and filling in the date of signature.
2. Please email or deliver the completed Form of Request to the Company Secretary at the address given above or forward via facsimile to +94 112 508 517
3. If the Form of Request is signed by an Attorney, a notarially certified copy of the Power of Attorney should be attached to the completed Form of Request.
4. If the request is made by a Company/Corporation this Form must be executed as per the Articles of Association of the Company/ Corporation either under the Common Seal or by a duly authorised officer of that Company/ Corporation.
5. In the case of a joint shareholding, the request should be signed by the joint holder whose name appears first in the Register of Members.